Instruments and Ensembles ~ Bibliographic Study

Introduction

Alan Lomax's study of instruments and ensembles, undertaken in collaboration with ethnomusicologist Theodore C. Grame and ethnologist Barbara Ayres, grew out of the more well-known Cantometrics project that Lomax developed during the 1950s and '60s. As part of the larger cross-cultural song style analysis carried out in Cantometrics, Lomax and his staff had considered the social and musical organization, rhythmic scheme, and tonal and rhythmic blend of instrumental accompaniment, as well as relationships between the instrumental and vocal parts and within the orchestra or ensemble itself. Later on in the development of Cantometrics, Lomax and Victor Grauer created a separate, supplementary coding sheet that provided for basic information about the size and composition of the performing group (Personnel & Orchestra¹). The patterns that emerged from factor analysis of the Cantometrics and Personnel & Orchestra datasets produced a preliminary classification of instruments and ensembles that was consistent with the geographical patterns of singing style, dance style, and speech style found in Lomax's other research.

As with the other factors measured in Cantometrics, and in the companion studies of Choreometrics and Parlametrics, the geographical patterns of instrumentation and orchestration provided the grounds for comparison and correlation with other sociocultural conditions-- political organization, subsistence activities, gender roles, etc. These patterns

¹ For more information and detailed instructions on the Personnel & Orchestra component of Cantometrics, see *Songs of Earth* (Wood 2019).

essentially formed a world "map" of instrument and ensemble typology, as well as the basis for a taxonomy of the development of instruments and ensembles over time.

In the early 1960s, in response to these findings, Lomax and his staff developed a separate study dedicated to instruments and ensembles, focusing on cultural context and structural typology rather than performance style as had been done in Cantometrics. In accordance with this slightly different focus, the data used in the study was primarily written and visual information, rather than sound recordings. Unlike many of the other studies of the expressive style research project, the instrument and ensemble sample was sourced from a carefully selected bibliography of instruments and "accounts of musical life,"² rather than from field recordings collected by Lomax and others.

In the manuscript for a "Handbook of World Orchestras and Instruments," (a chapter in a planned *World Song Style Handbook*, which was never published³), Lomax summarizes the findings of the bibliographic instrument and orchestration study:

We found that our bibliographic study matched and confirmed what we had found in our separate study of instruments occuring on field recordings. We also learned how the symbolism of instruments varies across culture and how the function of instruments varies in other ways. More information was gained about the relationship of sex to participation in orchestras. From all these findings, it is now possible to develop for the first time a theory of the relationship of orchestras to culture and social structure.

² The bibliography for the original instruments and ensembles sample is included in Appendix IV of this guide.

³ The World Song Style Handbook is an unpublished manuscript summarizing the findings of Cantometrics and other studies under the umbrella of Lomax's expressive style research project, such as Parlametrics and the instruments & ensembles study. Some of its contents were published by Lomax in Folk Song Style and Culture (1968), and others recast as necessary, with updates, in this publication and others, including the forthcoming Songs of Earth.

Specific correlations resulting from the study that Lomax found particularly significant include the correlation between the number of different instrument types in an orchestra and the "depth of the system of stratification" in the given culture, as well as the presence of a female orchestra leader with the gender roles in a culture. Barbara Ayres was principally responsible for finding these correlations between orchestration and social structure. See the Findings chapter of this guide for a detailed list of the correlations found in the instruments and ensembles study.

Research for the instrument study ended in the 1970s, and by 1977 the project had produced a classification scheme of ensemble and instrument types. New possibilities for orchestration were incorporated in the 1984 "Urban Strain" study of American popular music. Data and metadata from the instrument study will be published on the forthcoming Global Jukebox website; looking towards the future, we hope to be able to present audiovisual materials and/or renderings of the instruments alongside the data.

A Note on Terminology

Lomax used the term "orchestra" to refer to the instrumentalists in a performing group-- as distinguished from vocalists, dancers, etc. Though the word may conjure up particular cultural images to Western ears, it is being used here in the broadest sense possible. Every type of non-vocal accompaniment, from two sticks or clapping to a symphony orchestra, can be described using the coding sheet for ensembles. The term "ensemble" is used to differentiate from a single instrumentalist. "Performing group" can be used to describe a mixed group of instrumentalists, vocalists, and other performers.

A Note on the Sachs-Hornbostel Instrument Classification System

The classification of instrument types in Lomax's instrument study drew heavily from the system established by Erich Moritz von Hornbostel and Curt Sachs in 1914, and published in English in 1961. The Sachs-Hornbostel system of instrument classification categorizes instruments by their mechanism of sound production--specifically, "the nature of the vibrating body"--and places the world's instruments into the following groups:

- I. Idiophones. The substance of the instrument itself, owing to its solidity and elasticity, yields the sounds, without requiring stretched membranes or strings. *Ex. Bells, rattles, percussion sticks, xylophones.*
- II. Membranophones. The sound is excited by tightly-stretched membranes. *Ex. drums*.
- III. Chordophones. One or more strings are stretched between fixed points. Ex. lutes, zithers, harps, all other string instruments.
- IV. Aerophones. The air itself is the vibrator in the primary sense. Ex. flutes, trumpets, reeds, etc.

Sachs and Hornbostel break down these categories into much more specific subgroups in order to classify the wide breadth of world instruments that they considered in their system. Lomax's individual instruments coding sheet asks the researcher to provide the full Sachs-Hornbostel number of the instrument in question, which requires some familiarity with the classification. The complete Sachs-Hornbostel classification scheme is included in Appendix III. For a more detailed explanation of the Sachs-Hornbostel classification, and the numbering system they used, see *Classification of Musical Instruments* (Hornbostel & Sachs 1961).

References

- Hornbostel, E. M. V., & Sachs, C. (1961). Classification of Musical Instruments: Translated from the Original German by Anthony Baines and Klaus P. Wachsmann. *The Galpin Society Journal*, 14, 3. doi: 10.2307/842168
- Lomax, A. (1960-79) Alan Lomax Collection, Manuscripts, Performance style, writings, unpublished, World Song Style Handbook: A Summary of Cantometrics, (includes section on Parlametrics), (late 1960s, early 1970s?) Manuscript. [Manuscript/Mixed Material] Retrieved from the Library of Congress, https://www.loc.gov/item/afc2004004.ms390354/.
- Lomax, A. (1968). Folk song style and culture. E.E. Erickson (Ed.). New Brunswick, NJ: Transaction Books.

The Bibliographic Instruments and Ensembles Study Coding Sheets

Two separate types of coding sheets comprise the instruments and ensembles dataset. The first is for coding individual instruments, and the second is for coding ensembles.

Coding Sheet for Individual Instruments

Special Info (Lines 1-2)

Line 1. INSTRUMENT SETS, CHORDOPHONES, AND AEROPHONES

Specify on this line any of the following special information about the instrument being coded. Instruments that come in sets, like metallophones or xylophones for example, are coded as a single instrument. If you are coding an instrument set of this type, specify the size of the set and whether the instrument is tuned or untuned. If you are coding a plucked, struck, or bowed chordophone, specify the method of string activation on this line. If you are coding an aerophone, specify whether it is vertical (air is blown down into the mouthpiece or opening of the tube, as in a recorder) or transverse (air is blown horizontally across the mouthpiece or opening, as in a Western concert flute).

0. Not applicable or unknown

- 1. A small, untuned set (2-3 instruments)
- 2. A medium, untuned set (4-5 instruments)
- 3. A large, untuned set (6 plus instruments)
- 4. A small, tuned set
- 5. A medium, tuned set
- 6. A large, tuned set

- 7. Plucked chordophone
- 8. Struck chordophone
- 9. Bowed chordophone
- **10. Vertical aerophone**
- 11. Transverse aerophone

Line 2. MEMBRANOPHONES & IDIOPHONES

If you are coding a membranophone or idiophone, specify here the method by which the membrane or instrument is activated.

0. Not applicable or unknown

- 1. Played with both hands
- 2. Played with one hand
- 3. Played with one plain stick
- 4. Played with two plain sticks
- 5. Played with one padded stick
- 6. Played with two padded sticks
- 7. Played with a thong
- 8. Played with a bundle of twigs or metal brushes
- 9. Any other

Line 3. LENGTH

Code for the length of the largest dimension of the instrument.

0. Unknown

- 1. 6 inches or less
- 2. Over 6 inches 1 foot
- 3. Over 1 foot 1 ¹/₂ feet
- 4. Over $1\frac{1}{2}$ feet 2 feet
- 5. Over 2 feet 2 ¹/₂ feet
- 6. Over $2\frac{1}{2}$ feet 3 feet
- 7. Over 3 feet 4 feet
- 8. Over 4 feet 6 feet
- 9. More than 6 feet

Line 4. GENDER OF THE PERFORMER

Code the situation that typically applies in the culture in which the instrument is played (the culture named at the top of the coding sheet). If, for example, you think that the instrument is always played by men, but you are not sure, code **3** (**Usually played by men**).

- 1. Taboo for women
- 2. Always played by men
- 3. Usually played by men
- 4. Played by either men or women
- 5. Usually played by women
- 6. Always played by women
- 7. Taboo for men

- 8. Usually played by children (male and female)
- 9. Usually played by boys
- 10. Usually played by girls

Line 5. IMPORTANCE OF THE INSTRUMENT IN THE CULTURE

Code a judgment of the relative importance of the instrument in the culture that it belongs to.

- 0. Unknown
- 1. Common
- 2. Fairly common
- 3. Rare
- 4. Rare but important

Line 6. TIME DEPTH

Code the category that best describes the time of the instrument's origin in the particular culture named. If the instrument is part of an archaeological collection, code **5**, **6**, **7**, **8**, or **9**.

- 1. Traditional in the culture, little or nothing known about the date
- 2. Traditional but known to be archaic
- 3. Recently acquired but rare
- 4. Recently acquired and widely used
- 5. Archaeological: very recent to 1000 AD
- 6. Archaeological: 1000 AD to 1 AD

- 7. Archaeological: 1 AD to 1000 BC
- 8. Archaeological: 1000 BC to 3000 BC
- 9. Archaeological: prior to 3000 BC

Function (Lines 7-10)

An instrument may be used for several functions. After coding the number of functions the instrument has in the culture, code for the specific functions in order of judged importance. If a single functional context of an instrument has several aspects, code all these functions in order of importance. For example, a dance that is essentially a curing rite is coded **2** (**Curing**) on Line 8 (Most Important Function) and **10** (**Dance**) on Line 9 (Second Most Important Function), and coded **2** (**2** Functions) on Line 7 (Number of Functions).

Line 7. NUMBER OF FUNCTIONS

Code for the number of functions the instrument has in the culture.

- 0. Unknown
- 1. 1 function
- 2. 2 functions
- 3. 3 functions
- 4. More than 3 functions

Line 8. MOST IMPORTANT FUNCTION

- Ritual. Magic or religion, but <u>not</u> 2 (Curing), 3 (Rites of Passage), 4 (Courtship), 5 (Marriage), 6 (Funerary), 9 (War), 12 (Calendrical), 13 (Dramatic), or 17 (Rain-making).
- 2. Curing
- 3. Rites of passage (to adolescence only)
- 4. Courtship
- 5. Marriage. Includes betrothal, gift exchange, divorce, etc.
- 6. Funerary
- 7. Work
- 8. Signalling (other than war)
- 9. War. Includes preparation for war, marching, etc.
- 10. Dance
- 11. Merrymaking
- 12. Calendrical merrymaking
- 13. Dramatic
- 14. Royalty
- 15. Self entertainment
- 16. Passive listening. Includes concerts, contemplation, occupational, meditation,

entertainment, etc., not specifically listed above.

- 17. Rain-making
- 18. Food-gathering
- **19. Beggars' instruments**
- 20. Merchants, pedlars
- 21. Toy
- 22. Bardic accompaniment

Line 9. SECOND MOST IMPORTANT FUNCTION

See categories for Line 8.

Line 10. THIRD MOST IMPORTANT FUNCTION

See categories for Line 8.

Line 11. SYMBOLISM

Code for the symbolic meaning of the instrument as explicitly understood by members of the culture. Note the specific symbolic association in the comments section at the top of the coding sheet.

0. Unknown/no info

- 1. Animal
- 2. Totem animal
- 3. The voice of the dead
- 4. Any other supernatural manifestation (spirits, gods, etc.)
- 5. Male
- 6. Female
- 7. Hermaphroditic. Both sexes represented on same instrument.
- 8. Sexual. Known to symbolize the phallus, vagina, etc.
- 9. Instrument has no known symbolic meaning

Line 12. TYPICAL BODY POSITION

Code here the principal body stance of the player. If several are known to be common, code **9** (**General**). If one is more common than others, code this one. If two related body stances are about equally frequent, code the one judged to be most typical. Code **6** (**Sitting**) if the player is sitting on the ground, on a mat, or on a very low bench. Code **7** (**Sitting in a chair**) if the player is sitting at or about chair height on a bench, box, chair, or other support. If other positions are found, such as riding, etc., code **0** (**Unknown**).

0. Unknown

- 1. Standing
- 2. Dancing
- 3. Leaning
- 4. Kneeling
- 5. Squatting
- 6. Sitting
- 7. Sitting in a chair
- 8. Lying down
- 9. General

Line 13. SUPPORT OF INSTRUMENT

Code for the mechanism that supports the instrument while it is being played.

0. Unknown

1. Suspended from a frame, etc., or held by anyone but the performer.

- 2. Hung from the body of the performer. Does not apply when coder can state a specific part of the body; e.g. code knee rattles as 13 (Held on knee).
- 3. Held on the performer's head
- **4.** Held by the performer's mouth. Code mouth bows here, so it can be seen that the oral cavity is a resonator. Code jew's harps here.
- 5. Held on the performer's neck, under chin, etc.
- 6. Held in the hands
- 7. Held on the shoulder, or mainly by the arms
- 8. Held on the chest
- 9. Held on the belly
- 10. Held against the pelvis
- 11. Held in the lap
- 12. Held on the thigh
- 13. Held on the knee
- 14. Held on the leg or foot
- 15. Resting on the ground
- 16. Resting on a stand
- 17. Other

Line 14. LEVEL OF RESONATOR

Code for the level or height of the instrument's resonator in relation to the body of the performer. In aerophones, the "resonator" is the principal orifice of the instrument; in membranophones and idiophones, it is the center of the beaten surface of the instrument; in chordophones, it is the belly or main body of the instrument.

- 1. Above head
- 2. Head and neck
- 3. Shoulder
- 4. Chest
- 5. Belly
- 6. Hips
- 7. Pelvis
- 8. Thigh
- 9. Leg
- 10. Foot and ankle
- 11. Underfoot
- 12. General

Coding Sheet for Ensembles

Line 1. TIME DEPTH

Code the category that best describes the time of the ensemble's origin in the particular culture named. If the instruments are part of an archaeological collection, code **5**, **6**, **7**, **8**, or **9**.

0. Unknown

- 1. Traditional in the culture, little or nothing known about the date
- 2. Traditional but known to be archaic
- 3. Recently acquired but rare
- 4. Recently acquired and widely used
- 5. Archaeological: very recent to 1000 AD
- 6. Archaeological: 1000 AD to 1 AD
- 7. Archaeological: 1 AD to 1000 BC
- 8. Archaeological: 1000 BC to 3000 BC
- 9. Archaeological: prior to 3000 BC

Line 2. IMPORTANCE OF THE ENSEMBLE IN THE CULTURE

Code a judgment of the relative importance of the ensemble in the culture that it belongs to.

- 1. Common
- 2. Fairly common
- 3. Rare
- 4. Rare but important

Line 3. GENDER COMPOSITION OF THE ENSEMBLE

Code the situation that typically applies in the culture that the ensemble belongs to.

0. Unknown

- 1. All male
- 2. Largely male
- 3. About half and half
- 4. Largely female
- 5. All female
- 6. All children
- 7. Any combination of the sexes is typical and equally acceptable

Line 4. FEMALE LEADERSHIP

Code depending on how typical it is for the ensemble to be led by a woman. If the ensemble is only one instrument, code **0** (**Unknown**).

- 1. Always led by a female
- 2. Usually led by a female
- 3. Sometimes led by a female
- 4. Rarely led by a female
- 5. Never led by a female

Function (Lines 5-8)

An ensemble may have several functions. After coding the number of functions the ensemble has in the culture, code for the specific functions in order of judged importance. If a single functional context of an ensemble has several aspects, code all these functions in order of importance. For example, a dance that is essentially a curing rite is coded **2** (**Curing**) on Line 6 (Most Important Function) and **10** (**Dance**) on Line 7 (Second Most Important Function), and coded **2** (**2** Functions) on Line 5 (Number of Functions).

Line 5. NUMBER OF FUNCTIONS

Code for the number of functions the ensemble has in the culture.

0. Unknown

- 1. 1 function
- 2. 2 functions
- 3. 3 functions
- 4. More than 3 functions

Line 6. MOST IMPORTANT FUNCTION

- Ritual. Magic or religion, but <u>not</u> 2 (Curing), 3 (Rites of Passage), 4 (Courtship), 5 (Marriage), 6 (Funerary), 9 (War), 12 (Calendrical), 13 (Dramatic), or 17 (Rain-making).
- 2. Curing

- 3. Rites of passage (to adolescence only)
- 4. Courtship
- 5. Marriage. Includes betrothal, gift exchange, divorce, etc.
- 6. Funerary
- 7. Work
- 8. Signalling (other than war)
- 9. War. Includes preparation for war, marching, etc.
- 10. Dance
- 11. Merrymaking
- 12. Calendrical merrymaking
- 13. Dramatic
- 14. Royalty
- 15. Self entertainment
- 16. Passive listening. Includes concerts, contemplation, occupational, meditation,

entertainment, etc., not specifically listed above.

17. Rain-making

- 18. Food-gathering
- **19. Beggars' instruments**
- 20. Merchants, pedlars
- 21. Toy
- 22. Bardic accompaniment

Line 7. SECOND MOST IMPORTANT FUNCTION

See categories for Line 6.

Line 8. THIRD MOST IMPORTANT FUNCTION

See categories for Line 6.

Voices (Lines 9-10)

These lines deal with the situation and relationship of the ensemble accompanying vocalists.

Line 9. PRESENCE OF VOICES

Code depending on how often the ensemble accompanies vocalists.

0. Unknown

- 1. Always accompanies voices
- 2. Usually accompanies voices
- 3. Sometimes accompanies voices
- 4. Never accompanies voices

Line 10. ACCOMPANYING RELATIONSHIP OF THE ENSEMBLE

Code for the typical relationship between the ensemble and the vocalists that it accompanies.

0. Ensemble never accompanies voices

- 1. No information on number of voices accompanied
- 2. Usually accompanies solo voice
- 3. Usually accompanies duets

- 4. Usually accompanies choruses of 3-5
- 5. Usually accompanies choruses of 6 or more
- 6. Can accompany any combination of the above equally often

Line 11. NUMBER OF INSTRUMENTS

Code for the exact or approximate number of individual instruments in the ensemble. Each instrument is counted separately, even instruments of the same type (e.g. every violin in an orchestra is counted separately). If the number of instruments is entirely unknown, enter **0**.

- 1. 1 instrument
- 2. 2 instruments
- 3. 3 instruments
- 4. 4 instruments
- 5. 5 instruments
- 6. 6 instruments
- 7. 7 instruments
- 8. 8 instruments
- 9. 9 instruments
- 10. 10 instruments
- 11. 11 instruments
- 12. 12 instruments
- 13. 13-20 instruments
- 14. 21-30 instruments

15. 31-40 instruments
 16. 41- 50 instruments
 17. 51-60 instruments
 18. 61-70 instruments
 19. 71-80 instruments
 20. 81-90 instruments
 21. 91-98 instruments
 22. 99 plus instruments

Line 12. TOTAL NUMBER OF INSTRUMENT TYPES

Code for the number of instrument *types* in the ensemble, using the same categories as Line 11. All individual instruments of the same type are counted as one. If the number of instrument types is entirely unknown, enter **0**. It may be helpful to skip this line and fill out the bottom portion of the coding sheet listing each instrument by name first. Then, make a sum of the items on that list and code for that number on Line 12.

Bottom section of the coding sheet. INSTRUMENT NAMES, ID NUMBERS, AND QUANTITIES

In this portion of the coding sheet, write out i) the name of each instrument type in the ensemble, ii) each instrument's corresponding coding ID from the individual instruments coding sheet (assuming that it has been coded as an individual instrument-- if not leave blank), and iii) the quantity of that instrument that are present in the ensemble. Continue until you have listed all of the instruments.

Findings

Cluster Profiles

A geographical factor analysis, comparing the instrumental rosters of 57 areas, produced a highly coherent picture of regional instrumental traditions. The ten regions of instrument types are, with one or two qualifications, geographically homogeneous and continuous. This regional taxonomy mirrors the historical distributions of culture, known to anthropology, and it closely matches the taxonomies created by other data on expressive behavior.

Factor 1. Gatherers and Others

By linking African Gatherers to California Gatherers and the tribal peoples of the Indian subcontinent, this factor suggests the early intercontinental spread of African Gatherer performance tradition, which has emerged again and again in this study.

The African Gatherer roster includes all the basic instrument types (except for drums) in their most primordial forms--untuned and tuned idiophones (clapping and the mbira); winds (a long straight horn and whistle flutes); strings (the musical bow and the pleuriarch, a primitive lyre). The drum, a heavier and bulkier object, is not a favorite instrument among these nomadic gatherers. Anthropologist Colin Turnbull writes that the Mbuti, an African Gatherer people, commonly play drums when they visit their Bantu neighbors, but infrequently in their forest home. The Jul'hoansi (another African Gatherer group) are not drummers, nor are the Australians, the Andamanese, or the California Indians. In fact the California Indian roster is amazingly similar to that of the African Gatherers, and in the Tribal Indian case we find a similar assemblage-- of simple chordophones, aerophones, and idiophones, although with drums present as well. Village Indian instrumentation is, of course, close akin to that of Tribal India since these two traditions are in fact so closely intertwined. Though more filled out, its roster contains the musical bow, along with more sophisticated chordophones and drums. Village India also forms a subcluster with Upper Nile, as it does in respect to dance and song style, and this strong affiliation possibly explains the presence of Upper Nile in this factor.

Factor 2. Sibero-Amerindian

Factor 2 links all the culture areas of North America to Siberia, their region of origin, tying both to South America. The hunter expressive tradition, in which the frame drum, the rattle, and the flute are the principal instruments, characterize this familiar culture horizon.

Factor 3. Middle America

Factor 3 clusters together the instrumental rosters of the cultures of Mexico and Central Mexico, linking this set to Factor 4 (South American States).

Factor 4. South American States

Factor 4 includes the areas of the Caribbean and Andean kingdoms, with their enlarged roster of musical instruments, including polyphonic orchestras of horns and panpipes, panpipes and strings, and drums.

Factor 5. Early Oceanic

Factor 5 weakly links together the two areal traditions whose combination gave rise to the more recent Polynesian mix--the very African patterns of Melanesia and the proto-Malay cultures of Formosa, whence came the Austronesian settlers of the Indonesian archipelago.

Factor 6. Oceanic

Factor 6 is a grab-bag of elements from the whole region, based on the common attachment of Oceanic and Southeast Asian cultures--another of the many indications of the Southeast Asian origins of the traditions of this zone, including Polynesia, itself. Drums are comparatively scarce in Oceanic traditions, a fact that is probably responsible for keeping New Guinea, where deep drums are of prime importance, out of this factor.

Factor 7. Sub-Saharan Africa

Factor 7 is distinguished from all earlier sets by its mix of many types of deep drums with simple chordophones (musical bows, for example), winds, and both untuned and tuned idiophones. Again, as in every other expressive direction, Sub-Saharan Africa shows itself to be the most unified zone of human culture. Afro-American instrumentation, though strongly African in character, becomes a class by itself because mechanically complex European winds, strings, and xylophones are common and certain African standbys (musical bows, mbiras, lyres, etc.) are rarities in America.

Factor 8. Circum-Mediterranean

This factor encompasses the powerful Circum-Mediterranean plow and dairying tradition, dominating cultures from Central Asia to southern Spain, emphasizing the use of many sophisticated types of stringed and wind instruments and occasionally employing simple idiophones and drums. This pattern persists in modern European orchestras, the prime modern innovation being the introduction of convoluted horns, key operated winds, and mechanical zithers.

Factor 9. Old High Culture

Factor 9 is the Old High Culture pattern with flutes, reeds, zithers, lutes, tuned idiophones, and drums.

Factor 10. Southeast Asia

Factor 10 is the Southeast Asian set with strings, small winds, a great variety of tuned and untuned idiophones and drums.

Factors 8, 9, and 10 are linked into a macro-factor because all have many types of instruments that are capable of precise tuning and fine-grained and exact tonal articulation.

Overview of Findings

Broadly speaking, analysis of the original bibliographic Instruments and Ensembles dataset yielded three major conclusions, which are supported and supplemented by the study of Personnel & Orchestras that Lomax et al conducted as part of Cantometrics.

- First, throughout history and in most traditions, performing on instruments has been a virtual masculine monopoly.
- 2) Second, the taxonomies of instruments and ensembles closely match those for song style and for other cultural factors, indicating a consonance between these three fields.
- And third, the development and refinement of instruments and orchestration (and the comparatively waning importance of vocal music) goes hand in hand with increasing socioeconomic sophistication.

Cross-culturally, it was found that men are three times as likely as women to play instruments in public.⁴ See the table below for the gender ratios of specific instrument classes.

Instrument Class	Male: female ratio
Chordophones	4:1
Small aerophones	5:1
Large aerophones	10:1
Slit drum	5:1
Deep drums	4:1
Rattles & other simple idiophones (sticks,	3:1

⁴ Stamping and clapping, which are often associated with women, were included in this survey.

There are some regional exceptions. In Amazonia men play flutes only twice as frequently as women, and in Sub-Saharan Africa the same remark may be made about the frame or horn drum. Indeed, the tambourine is the only instrument type without a significant male differential frequency: European women play the tambourine somewhat more frequently than men. Perhaps this is because tambourine playing resembles clapping, in which women match or outdo men. In the traditions of the African hunter-gatherers, dance is accompanied by orchestras of palms and voices, in which women take the leading roles. The data from this study also shows that women make more palm music than men among Australian Gatherers, Melanesians, Polynesians, and almost match men in this activity in Sub-Saharan Africa and Old High Culture.

Lomax speculated as to the reasons for this highly gendered distribution of instrumentalists cross-culturally. Mainly, he argued that male dominance in the field of musical instruments can be explained as one part of an ancient pattern of male-female role differentiation, wherein musical instruments are symbols of masculinity and femininity (often in the form of sexual organs), and their performance is an enactment of sexual dynamics between men and women. The sexual symbolism of musical instruments and performances is widely supported by folklore and ethnographic accounts. Following Sachs' organological application of Freudian theory, Lomax suggested several specific explanations for the differing gender roles in these sexually symbolic performances. Male sexual frustration— perhaps due to social norms or rules restricting courtship, masturbation, sex, or nudity— could be sublimated via sexually evocative manipulation of instruments that resemble sexual organs. On the other hand, female participation in such suggestive acts would be inappropriate in cultures with strong sanctions against female expression of sexuality. In many societies in which women generally do not play instruments, the exceptions to this rule are to be found amongst sex workers.

The findings regarding gender relate to another main conclusion of the Instruments and Ensembles study: the correlation between instrumental/orchestral complexity and socioeconomic complexity. Instruments that require more technological sophistication to produce, and allow for more fine-grained musical articulation, were found more prominently in cultures with the technological capabilities, organized political structures, and stratification of labor necessary for such complex production. The most characteristic idiophone in each major culture group, organized from the simplest to most complex idiophone, provides an elegant demonstration of this, as the associated culture groups also ascend in socioeconomic complexity.

African Gatherer.....Clapping

Siberia.....Stamping

Aboriginal Australian.....Claveles

American Indians......Rattles

Mexico.....Scrapers

Polynesia.....Slit Drums

Malaysia.....Gongs

East Asia.....Bells & Xylophones

Orchestral complexity— defined by the number of different instrument types in an orchestra was also found to be correlated with socioeconomic development and centralized political authority. Furthermore, the social function of instrumental music changed with increasing social complexity: simpler social economies featured instrumental music in ritual settings, while in more developed ones the settings for instrumental music grew increasingly secular, from social merriment to public displays of state power and wealth. As the domains of food production, manufacturing and specialized craftsmanship (especially metal-working), and politics are male-dominated in many of these complex social economies — and instrumental music increasingly tied to these activities — Lomax believed it followed that instrumentalism would be male-dominated as well, and noted that the exceptions to this were often in developed societies where women participated in the labor force. In short, Lomax argued that, generally, the rise of complex instruments and orchestration accompanies the rise of masculine authority and the loss of feminine autonomy.

Singing amongst women is more common, cross-culturally, than playing instruments, although men were still found to be twice as likely as women to sing publicly. However, Lomax and his staff found that, in a global comparison of orchestras, those that accompanied vocalists rather than performing purely instrumental music, were far more characteristic of pre-agricultural societies such as the African Gatherers and the tribal Circum-Pacific than of more complex socio-economies. This further supports the relationship between socioeconomic complexity, instrumental music, and male-dominance.

Specific Findings by Instrument Type

In addition to these general conclusions, correlations were found between the prominence of specific instruments and other musical and sociocultural factors.

Clapping

- Common in warm climates.
- Predicts a performance style marked by maximum participation and integration (groupy) in both musical and conversational performance, in which women play an important part.
- Usually involves a sizeable number of performers in both the orchestra and the singing group.
- The dances accompanied by clapping involve torso articulation.
- Female leadership is fairly likely.
- Predicts and is predicted by a child rearing system in which males are not trained to be achievers.

Rattles

- Indicator of a simple economy (non-intensive agriculture, organized in small communities, labor is not divided by gender).
- Associated with cultures who place married couples with the bride's family, and are fairly likely to be matrilineal.
- Performance style tends towards simplicity. The rattle provides the only accompaniment to songs with wide intervals, much textual repetition of nonsense refrains by solo singers, and to dances that involve few body parts in brief, straight, movements.

Hand and frame drums

- Associated with simple, small-scale societies, with a masculine orientation.
- Labor is divided by gender (males predominate in food production).
- Women seldom lead songs.
- The songs accompanied by hand and frame drums are usually simple (often three-phrase) strophic forms, and are usually sung in unison with much slurring of the text and in a guttural voice.

Tuned idiophones (Xylophones, mbiras, etc.)

- Indicators of complex communities organized in a least minimal states.
- Found in orchestras with numerous instrumental types, including deep drums.
- Accompanied dances entail three-dimensional movement, irregular leg rhythms, and unemphatic accents.

Deep drums

- Occur where metal tools are likely to be available.
- Often used to call high gods to rituals, and to announce the presence of people of high caste.
- Predict organized, sizeable communities that are fairly likely to be based in patrilineal clan organization.
- Severe childhood discipline, early weaning.
- Organization of dance and song around regular rhythms.

Large aerophones (horns, trumpets, oboes, clarinets, convoluted wind instruments)

- Strongly predict complex social order (large communities based on intensive agriculture, cattle herds, and metallurgy are organized into sizeable states with centralized systems of government).
- Found in complex orchestras that generally accompany textually and formally elaborate songs which involve the use of narrow intervals-- all three indicators of the stratified society.
- Weakly predict low feminine autonomy.

Horns

- Strongly predicts aggressive performance style, typical of males (forceful singing, loud volume).
- Predicts regular meter in the orchestra.

Oboe

• Predicts low feminine autonomy.

Lutes

- Strongly predicts and is predicted by intensive plow agriculture, advanced pastoralism, large complex settlements, and high stratification (large states, highly centralized government, non-hereditary succession to leadership, rigid social layering).
- Accompany information-packed songs with narrow intervals, little repetition, precise enunciation, and many phrases.
- Solo virtuosos dominate the communication space.
- Predicts dances which handle space in a highly differentiated and varied manner, with curved or three-dimensional gestures, involving many body parts including the hand and fingers, and a space-encompassing choreography made possible by active lower leg movement.
- Predicts uninterrupted stretches of speech and long spoken phrases in conversation, which is correlated with central control of the community and work team.
- Women are likely to be severely sanctioned for pre-marital sexual acts.
- Males dominate in food production and politics; low feminine autonomy.
- Lute-accompanied singers characteristically sing in an upper register, with narrowed or pinched vocal tone and with small intervals between notes.

Comments: Both bowed lutes and plucked lutes are indicators of these conditions, but there are some differences between their patterns, which may reflect the fact that although both instruments are known all over Afro-Asia, the plucked lute is more prevalent in West Asia and the bowed lute is more common in the Far Orient.

Bowed lutes (fiddle type)

- Highly associated with social layering.
- Strongly predicts a high degree of ornamentation (embellishment, melisma, glissando), and of narrow intervals. Also predicts elaborate melodic forms.
- Predicts masculine dominance of productivity.
- Predicts narrowed and noisy singing voice.

Plucked lutes (guitar type)

• Strongly predict large communities and more powerful states.

- Strongly predict the presence of active high gods.
- Weakly predict restrictive sexual systems and low autonomy for women.
- Dance and song styles associated with plucked lutes are somewhat more energetic and rhythmically regular than with bowed lutes; melodies are less elaborate. Plucked lutes also predict a more strongly differentiated system of movement in dance than do bowed lutes.

Zithers

- Feminine song leaders may be expected.
- Multi-dimensional dance style

Comments: While the lute family is probably of West Asian origin, the zither family flourished in the East, particularly Southeast Asia, and spread to Africa, being particularly frequent in Madagascar and East Africa. More recently, the zither family became extremely important in Europe, as it encompasses various sorts of keyboard instruments, from the clavichord to the piano. Because of this distribution, zithers are not so strongly association with exclusive male dominance and highly developed political systems as the lute family. Singing is also not as markedly tense-voiced and exclusive.

Glossary / Terminology

Embellishment, ornamental additions to the main melody of a song that are usually more rapid and ephemeral than the melodic part.

Glissando, the effect created when the voice slides smoothly from one tone to another, passing through all intermediate pitch levels.

Groupy, Alan Lomax's term for gross synchrony of behavior in a culture; denotes a high level of social coordination within a community.

Lamella, a thin, plate-like structure

Melisma, the singing of a single syllable of text over two or more basic notes of the melody; the syllable is stretched out over several different articulated pitches.

Reed, a thin strip of material that vibrates to produce a sound.

Resonator, an apparatus that increases the resonance of a sound, especially a hollow part of a musical instrument. (Lexico)

Soundboard, a thin sheet of wood over which the strings of an instrument are positioned to increase the sound produced. (Lexico)

Appendix I - Coding Sheet for Individual Instruments

Coding ID				Instrument Name							
Sachs-Hornbostel Number							S-H Suffix				
Culture Name			Culture Number			Area					
ibliographic Info:											
Coder Name, Date, Comments:											
Special Info	Instrument sets; Chordo- and Aerophones	0. N/a, unknown				untuned		e, untuned 4. Small, tuned			6, Large, tuned
	Membrano-, Idiophones	7. Plucked o 0. N/a. unknown		8. Struck cl 2. One hand	hordophone		hordophone	10. Vertical		11. Transverse	9. Other
Length	membrano-, idiopriones	0. Unknown	1. Both hands 1. ≤ 6"	2. One hand 2. > 6" - 1'	3. One pl stick 3. > 1' - 1.5'	4. 1 WO PI SUCKS 4. > 1.5' - 2'	5. > 2' - 2.5'	6. Two pad sticks 6. > 2.5' - 3'	7. Thong 7. > 3' - 4'	8. Twigs/brushes 8. > 4' - 6'	9. Other 9. > 6'
Gender		0. Un			o women		us men	3. Usua		4. Eit	
			5. Usually women		6. Always women		7. Taboo men 8. Usua		9. Usually boys 10. Usually girls		
Importance			0. Unknown		1. Common		2. Fairly common		are		
Time Depth		0. Unknown		1. Traditional		2. Trad, archaic		3. Recent, rare		4. Recent, widely used	
		5. 1000 CE - recent		6.1 - 1000 CE		7. 1000 BCE - 1 CE		8. 3000 - 1000 BCE		9. Prior to 3000 BCE	
	Number of Functions	0. Un	known	1.0	One	2.1	Two	3. Three		4. More than three	
Function	Function 1	0. Unknown	1. Ritual	2. Curing	3. Rites	4. Courtship	5. Marriage	6. Funerary	7. Work	8. Signaling	
		9. War	10. Dance		rymaking	12. Calendrica	al merrymaking	13. Dramatic	14. Royal	15. Self-ente	rtainment
			e listening	17. Rain		gathering	19. Beggar	20. Merchant	21. Toy	22. Bardic	
	Function 2	0. Unknown	1. Ritual	2. Curing	3. Rites	4. Courtship	5. Marriage	6. Funerary	7. Work	8. Sign	
		9. War	10. Dance		rymaking		al merrymaking	13. Dramatic	14. Royal	15. Self-ente	
			5. Passive listening 17. Rain			d-gathering 19. Beg		20. Merchant	21. Toy	22. Bardic	
	Function 3	0. Unknown	1. Ritual	2. Curing	3. Rites	4. Courtship	5. Marriage	6. Funerary	7. Work	8. Sign	
		9. War	10. Dance		rymaking		al merrymaking	13. Dramatic	14. Royal	15. Self-entertainment	
Symbolism			ve listening	17. Rain		gathering	19. Beggar	20. Merchant	21. Toy	22. Ba	
Position		0. Unknown	1. Animal	2. Totem	3. Dead	4. Supernatural	5. Male	6. Female	7. Herm.	8. Sexual	9. None
Position Support Level		0. Unknown 0. Unknown	1. Standing	2. Dancing	3. Leaning 3. Head	4. Kneeling 4. Mouth	5. Squatting 5. Neck	6. Sitting 6. Hands	7. Sitting (chair)	8. Lying	9. General 9. Belly
			1. Frame	2. Hung body					7. Shoulder	8. Chest	9. Belly
		10. Pelvis	11. Lap	12. Thigh	13. Knee	14. Leg/foot	15. Ground	16. Stand	l	17. Other	
		0. Unknown	1. Above head	2. Head 3. Shoulder		4. Chest 10. Foot		5. Belly		6. Hips	
		7. Pelvis	8. Thigh	9. Leg		10.	Foot	11. Underfoot		12. General	

Appendix II - Coding Sheet for Ensembles

Cult	ure Name				Culture Numbe	н		Area			
Bibli	iographic I	nfo:									
Code	er Name, C	Date, Comments:									
					1						
Time	Depth			known	1. Trac			archaic	3. Rece		4. Recent, widely
	~~~~			E - recent	6.1-1			CE - 1 CE	8.3000 -		9. Prior to 3000 B
	ortance			known	1. Cor			common	3. F		4. Rare but import
Gene			0. Unknown	1. All male	2. Largely male		4. Large		5. All female		7. Any combinati
Lead	dership		0. Unknown		ys fem ale	2. Usually		netimes	4. Ri		5. Never
		Number of Functions		known	1.0			Two	3. T		4. More than thre
			0. Unknown	1. Ritual	2. Curing	3. Rites	4. Courtship		6. Funerary	7. Work	8. Signaling
		Function 1	9. War	10. Dance	11. Merr		12. Calendrica		13. Dramatic	14. Royal	15. Self-entertainm
1			16. Passiv		17. Rain	18. Food-		19. Beggar	20. Merchant	21. Toy	22. Bardic
Fund	ction		0. Unknown	1. Ritual	2. Curing	3. Rites	4. Courtship	5. Marriage	6. Funerary	7. Work	8. Signaling
1.000		Function 2	9. War	10. Dance	11. Merr		12. Calendrica		13. Dramatic	14. Royal	15. Self-entertainm
				ve listening	17. Rain	18. Food-		19. Beggar	20. Merchant	21. Toy	22. Bardic
		Function 3	0. Unknown 9. War	1. Ritual 10. Dance	2. Curing	3. Rites	4. Courtship	5. Marriage al merrymaking	6. Funerary 13. Dramatic	7. Work 14. Royal	8. Signaling 15. Self-entertainm
		i uncion a		e listening	11. Merr 17. Rain	18. Food-		19. Beggar	20. Merchant	21. Toy	22. Bardic
1		Presence		known		18. Hood- ways	gathering 2. Us		20. Merchant 3. Som		4. Never
Voic	es	Relationship	0. Never	1. No info	2. Solo	3. Duet		rus 3-5	5. Chor.		6. Any combination
-		Relationality	0. Unknown	1.1	2.300	3. 3	4.4	5.5	6.6	7.7	8.8
Num	ber of Inst	rumants	9,9	10, 10		.11		12	13. 13-20	14.21-30	15, 31-40
1				1-50	17.51-60	18.6		19.71-80	20.81-90	21.91-98	22. 99 plus
-			0. Unknown	1,1	2.2	3.3	4.4	5.5	6.6	7.7	8.8
Num	ber of Inst	rument Types	9,9	10, 10	11.			12	13. 13-20	14, 21-30	15.31-40
		1.00		1-50	17.51-60	18.6		19, 71-80	20.81-90	21.91-98	22. 99 plus
		Instr	ument Name			1		strument Coding			Quantity
a)											
b)											
c) d)											
d) e)											
f)											
g)											
h)											
0											
1) k)											
0											
m)											
n)											
p)											
(0) (q) (p)											
p)											

# Appendix III - The Sachs-Hornbostel Classification System

1	<b>IDIOPHONES</b> The substance of the instrument is and elasticity, yields the sounds, without requiring stretce strings	, ,		
11	<b>Struck idiophones</b> The instrument is made to vibrate	by being struck upon		
111	<b>Idiophones struck directly</b> The player himself executes the movement of striking; whether by mechanical intermediate devices, beaters, keyboards, or by pulling ropes, etc., is immaterial; it is definitive that the player can apply clearly defined individual strokes and that the instrument itself is equipped for this kind of percussion			
111.1	<b>Concussion idiophones or clappers</b> Two or more compl are struck against each other	lementary sonorous parts		
111.11	Concussion sticks or stick clappers Anna	arn, India, Marshall Is.		
111.12	Concussion plaques or plaque clappers	China, India		
111.13	Concussion troughs or trough clappers	Burma		
111.14	<b>Concussion vessels or vessel clappers</b> Even a slight holl board counts as a vessel	ow in the surface of a		
111.141	Castanets Vessel clappers, either natural, or artificially h	Castanets Vessel clappers, either natural, or artificially hollowed out		
111.142	Cymbals Vessel clappers with everted rim	Cymbals Vessel clappers with everted rim		
111.2	<b>Percussion idiophones</b> The instrument is struck either with a non-sonorous object (hand, stick, striker) or against a non- sonorous object (human body, the ground)			
111.21	Percussion sticks			
111.211	(Individual) percussion sticks Japan, Annam, Balka	ans; also the triangle		
111.212	<b>Sets of percussion sticks</b> Several percussion sticks of dis combined to form a single instrument	fferent pitch are		
	All xylophones, as long as their sounding components are not [nicht biplan]	in two different planes		
111.22	Percussion plaques			
111.221	(Individual) percussion plaques In the oriental Christian	Church		
111.222	<b>Sets of percussion plaques</b> Lithophone (China),	and most metallophones		
111.23	Percussion tubes			

111.231	(Individual) percussion tubes	Slit drum	, tubular bell
111.232	Sets of percussion tubes	Tubaphon, tubul	ar xylophone
111.24	Percussion vessels		
111.241	Gongs The vibration is strongest near the vertex		
111.241.1	(Individual) gongs		
	S. and E. Asia; including the so-called metal dr	rums, or rather kettl	e-gongs
111.242.11	<b>Sets of gongs</b> [gong chimes]	S	S.E. Asia
111.242	Bells The vibration is weakest near the vertex		
111.242.1	(Individual) Bells		
111.242.2	<b>Resting bells</b> The cup is placed on the palm of the mouth faces upwards	e hand or on a cus	hion; its
		China, Indo-Chir	na, Japan
111.242.12	Suspended bells The bell is suspended from the a	pex	
111.242.121	<b>Suspended bells struck from the outside.</b> No striker is attached inside the bell, there being a separate beater		
111.242.122	Clapper bells A striker (clapper) is attached inside	e the bell	
111.242.2	Sets of bells [chimes] (subdivided as 111.242.1)		
112	<b>Indirectly struck idiophones</b> The player himself of movement of striking; percussion results indirectly movement by the player. The intention of the insta- sounds or noises, and not to let individual strokes	y through some of rument is to yield	ther
112.2	Shaken idiophones or rattles The player executes	a shaking motion	1
112.11	<b>Suspension rattles</b> Perforated idiophones are mot strike against each other	unted together, an	d shaken to
112.111	Strung rattles Rattling objects are strung in rows	on a cord	
		Necklaces with re	ows of shells
112.112	Stick rattles Rattling objects are strung on a bar (	or ring) Sistrum	n with rings
112.12	Frame rattles Rattling objects are attached to a ca	arrier against whic	ch they strike
112.121	<b>Pendant rattles</b> Rattling objects are hung from a Danci	frame ing shield with rattli	ing rings
112.122	<b>Sliding rattles</b> Non-sonorous objects slide to and object so that the latter is made to vibrate; or sono		

	the slots of a non- sonorous object, to be set in vibr Anklun	ation by the impacts g, sistrum with rods (recent)
112.13	<b>Vessel rattles</b> Rattling objects enclosed in a vessel against the walls of the vessel, or usually against bo rattles with handle, in which the rattling objects, in knotted into a net slipped over the outer surface, construit shells with seeds, 'pellet bells' enclosing loose percent.	th, nb The Benue gourd stead of being enclosed, are ount as a variety of vessel rattle
112.2	<b>Scraped idiophones</b> The player causes a scraping r indirectly: a non-sonorous object moves along the r object, to be alternately lifted off the teeth and flick sonorous object moves along the surface of a notch cause a series of impacts. This group must not be co idiophones	notched surface of a sonorous red against them; or an elastic ed non- sonorous object to
112.21	Scraped sticks A notched stick is scraped with a lit	tle stick
112.211	Scraped sticks without resonator	
	S. America. India (notci	hed musical bow), Congo
112.212	Scraped sticks with resonator	Usumbara, E. Asia (tiger)
112.22	Scraped tubes	S. India
112.23	Scraped vessels The corrugated surface of a vessel	is scraped
		S. America, Congo region
112.24	<b>Scraped wheels or cog rattles</b> A cog wheel, whose a tongue fixed in a frame which is free to turn on the tongue strikes the teeth of the wheel one after anot	e handle; when whirled, the
112.3	<b>Split idiophones</b> Instruments in the shape of two s end and touching at the other: the arms are forced a or vibrate on recoil <i>China (huan t'u), Malac</i>	apart by a little stick, to jingle
12	<b>Plucked idiophones</b> Lamellae, i.e. elastic plaques, then released to return to their position of rest	fixed at one end, are flexed and
121	In the form of a frame The lamella vibrates within	a frame or hoop
121.1	<b>Clack idiophones</b> (cricri) The lamella is carved in the which serves as resonator	he surface of a fruit shell, Melanesia
121.2	<b>Guimbardes</b> (Jews' harps) The lamella is mounted i frame and depends on the player's mouth cavity for	
121.21	<b>Idioglot guimbardes</b> The lamella is carved in the frigue to the frame	ame itself, its base remaining India, Indonesia, Melanesia
121.22	Heteroglot guimbardes A lamella is attached to a f	rame

121.221	(Single) heteroglot guimbardes	Europe, India, China		
121.222	<b>Sets of heteroglot guimbardes</b> Se pitches are combined to form a sin	veral heteroglot guimbardes of different ngle instrument Aura		
122	<b>In board- or comb-form</b> The lame like the teeth of a comb	ellae are tied to a board or cut out from a board		
122.1	With laced-on lamellae			
122.11	Without resonator	All sansas on a plain board		
122.12	With resonator	All sansas with a box or bowl below the board		
122.2	With cut-out lamellae (musical b	oxes) Pins on a cylinder pluck the lamellae Europe		
13	Friction Idiophones The instrum	ent is made to vibrate by friction		
131	Friction sticks			
131.1	(Individual) friction sticks	Unknown		
131.2	Sets of friction sticks			
131.21	With direct friction The sticks themselves are rubbed			
		Nail fiddle, nail piano, Stockspiele		
131.22		are connected with others which are rubbed dinal vibration, stimulate transverse vibration <i>Chladni's euphon</i>		
132	Friction plaques			
132.1	(Individual) friction plaques	Unknown		
132.2	Sets of friction plaques [livika]	New Ireland		
133	Friction vessels			
133.1	(Individual) friction vessels	Brazil (tortoise shell)		
133.2	Sets of friction vessels	Verillon (glass harmonica)		
14	Blown idiophones The instrumen	at is made to vibrate by being blown upon		
141	Blown sticks			
141.1	(Individual) blown sticks	Unknown		
141.2	Sets of blown sticks	Aeolsklavier		
142	Blown plaques			
142.1	(Individual) blown plaques	Unknown		
142.2	Sets of blown plaques	Piano chanteur		

Suffixes for use with any division of this class (idiophones):

### -8 with keyboard

### -9 mechanically driven

2	MEMBRANOPHONES membranes	The sound is excited by tightly-stretched	
21	Struck drums The membranes are struck		
211	<b>Drums struck directly</b> The player himself executes the movement of striking; this includes striking by any intermediate devices, such as beaters, keyboards, etc.; drums that are shaken are excluded		
211.1	Kettle drums (timpani)	The body is bowl- or dish-shaped	
211.11	(Separate) kettle drums	European timpani	
211.12	Sets of kettle drums	W. Asian permanently joined pairs of kettle drums	
211.2	<b>Tubular drums</b> The body i	s tubular	
211.21	<b>Cylindrical drums</b> The diameter is the same at the middle and the ends; whether or not the ends taper or have projecting disks, is immaterial		
211.211	<b>Single-skin cylindrical drums</b> The drum has only one usable membrane. In some African drums a second skin forms part of the lacing device and is not used for beating, and hence does not count as a membrane in the present sense		
211.211.1	<b>Open cylindrical drums</b> T	he end opposite from the membrane is open Malacca	
211.211.2	<b>Closed cylindrical drums</b> The end opposite from the membrane is closed <i>West Indies</i>		
211.212.1	Double-skin cylindrical d	<b>rums</b> The drum has two usable membranes	
211.212.1	(Individual) cylindrical dr	ums Europe (side drum)	
211.212.2	Sets of cylindrical drums		
211.22*	<b>Barrel-shaped drums</b> The diameter is larger at the middle than at the ends; the body is curvilinear <i>Asia, Africa, Ancient Mexico</i>		
211.23	<b>Double-conical drums</b> The body is rectilinear with ang	e diameter is larger at the middle than at the ends; the gular profile India (mrdanga, banya, pakhavaja)	
211.24*	Hourglass-shaped drum T	he diameter is smaller at the middle than at the ends Asia, Melanesia, E. Africa	

211.25*	<b>Conical drums</b> The diameters at the ends differ considerably ; min from conicity, inevitably met, are disregarded here	or departures India
211.26*	<b>Goblet-shaped drums</b> The body consists of a main section which i cup-shaped or cylindrical, and a slender stem; borderline cases of t design like those occurring notably in Indonesia, do not affect the i so long as a cylindrical form is not in fact reached	his basic
211.3	<b>Frame drums</b> The depth of the body does not exceed the radius of membrane. N.B. The European side-drum, even in its most shallow development from the long cylindrical drum and hence is not inclu frame drums	form, is a
211.31	Frame drums (without handle)	
211.311	Single-skin frame drums	Tambourine
211.312	Double-skin frame drums	N. Africa
211.32	<b>Frame drum with handle</b> A stick is attached to the frame in line w diameter	vith its
211.321	Single-skin frame drums with handle	Eskimo
211.322	Double-skin frame drums with handle	Tibet
212	<b>Rattle drums</b> (sub-divisions as for drums struck directly, 211) The or shaken; percussion is by impact of pendant or enclosed pellets, or s	
22	<b>Plucked drums</b> A string is knotted below the centre of the membras string is plucked, its vibrations are transmitted to the membrane <i>India (gopi yantra, anan</i>	
23	Friction drums The membrane is made to vibrate by friction	
231	<b>Friction drums with stick</b> A stick in contact with the membrane is rubbed, or is employed to rub the membrane	s either itself
231.1	With inserted stick The stick passes through a hole in the membra	ane
231.11	<b>Friction drums with fixed stick</b> The stick cannot be moved; the st subjected to friction by rubbing	ick alone is <i>Africa</i>
231.12	<b>Friction drums with semi-fixed stick</b> The stick is movable to a subto rub the membrane when it is itself rubbed by the hand	fficient extent Africa
231.13	<b>Friction drums with free stick</b> The stick can be moved freely; it is rubbed, but is employed to rub the membrane	not itself Venezuela

*To be sub-divided like 211.21.

2 <b>31.2</b>	With tied stick The stick is tied to the membrane in an upright position Europe
232	Friction drum with cord A cord, attached to the membrane, is rubbed
232.1	Stationary friction drum with cord The drum is held stationary
	Europe, Africa
232.11	Single-skin stationary drums with friction-cord
232.12	Double-skin stationary drums with friction-cord
232.2	<b>Friction drum with whirling stick</b> The drum is whirled on a cord which rubs on a [resined] notch in the holding stick.
	Waldteufel [cardboard buzzer] (Europe, India, E. Africa)
233	Hand friction drums The membrane is rubbed by the hand
24	<b>Singing membranes</b> (Kazoos) The membrane is made to vibrate by speaking or singing into it; the membrane does not yield a note of its own but merely modifies the voice <i>Europe, W. Africa</i>
241	Free kazoos The membrane is incited directly, without the wind first passingthrough a chamberComb-and-paper
242	Tube- or vessel-kazoos The membrane is placed inside a tube or box
	Africa; while also, E. Asian flutes with a lateral hole sealed by a membrane, exhibit an adulteration with the principle of the tube kazoo
Suffixes for us	e with any division of this class (membranophones):
-7 with memb -8 with memb	orane glued to drum orane nailed to drum orane laced to drum oon-) bracing The cords are stretched from membrane to membrane or arranged in the form of a net, without employing any of the devices described below
-811 Without	special devices for stretching Everywhere
-812 With ten	sion ligature Cross ribbons or cords are tied round the middle of the lacing to
-813 With ton	increase its tension <i>Ceylon</i> sion loops The cords are laced in a zigzag; every pair of strings is caught together
	with a small ring or loop India
-814 With we	<b>dge-bracing</b> Wedges are inserted between the wall of the drum and the cords of the lacing; by adjusting the position of the wedges it is possible to control the tension India, Indonesia, Africa
-82 Cord-and	-hide bracing The cords are laced at the lower end to a non-sonorous piece of hide
-83 Cord-and	<i>Africa</i> board bracing The cords are laced to an auxiliary board at the lower end. <i>Sumatra</i>

-84 Cord-and-flange bracing The co solid	ords are laced at the lower end to a flange carved from the <i>Africa</i>
	s are laced at the lower end to a belt of different material
8	India
-86 Cord-and-peg bracing The cords	s are laced at the lower end to pegs stuck into the wall of
the drum	Africa
N.B82 to -86 are sub-divided as -81	above
-9 With membrane lapped on A ring	g is slipped over the edge of the membrane
-91 With membrane lapped on by ri	ing of cord Africa
-92 With membrane lapped on by a	hoop
-921 Without mechanism	European drum
-922 With mechanism	
-9221 Without pedal	Machine timpani
-9222 With pedals	Pedal timpani
3 CHORDOPHONES	One or more strings are stretched between fixed points
31 Simple chordophones	s or zithers The instrument consists solely of a string

- bearer, or of a string bearer with a resonator which is not integral and can be detached without destroying the sound-producing apparatus
- **311 Bar zithers** The string bearer is bar-shaped; it may be a board placed edgewise
- **311.1 Musical bows** The string bearer is flexible (and curved)
- **311.11 Idiochord musical bows** The string is cut from the bark of the cane, remaining attached at each end
- **311.111 Mono-idiochord musical bows** The bow has one idiochord string only *New Guinea (Sepik R.), Togo*
- **311.12Poly-idiochord musical bows or harp-bows** The bow has several idiochord<br/>strings which pass over a toothed stick or bridge*W. Africa (Fan)*
- **311.12 Heterocbord musical bows** The string is of separate material from the bearer
- **311.121 Mono-beterocbord musical bows** The bow has one hetero-chord string only
- **311.121.1** Without resonator N.B. If a separate, unattached resonator is used, the specimen belongs to 311.121.21. The human mouth is not to be taken into account as a resonator
- **311.121.11** Without tuning noose Africa (ganza, samuius, to)
- **311.121.12**With tuning noose A fibre noose is passed round the string, dividing it into two<br/>sectionsSouth-equatorial Africa (n'kungo, uta)
- 311.121.2 With resonator
- 311.121.21 With independent resonator

Borneo (busoi)

311.121.22	With resonator attached			
311.121.221	Without tuning noose	S. Africa (hade, thomo)		
311.121.222	With tuning noose	S. Africa, Madagascar (gubo, hungo, bobre)		
311.122	Poly-heterochord musical bows The bow has several hetero-chord strings			
311.122.1	Without tuning noose	Oceania (kalove)		
311.122.2	With tuning noose	Oceania (pagolo)		
311.2	Stick zithers The string carrier i	s rigid		
311.21		g bearer has one flexible, curved end. N.B. Stick d curved, like the Basuto bow, are counted as <i>India</i>		
311.22	( <b>True</b> ) <b>stick zithers</b> N.B. Round sticks which happen to be hollow by chance do not belong on this account to the tube zithers, but are round-bar zithers; however, instruments in which a tubular cavity is employed as a true resonator, like the modem Mexican <i>harpa</i> , are tube zithers			
311.221	With one resonator gourd	India (tuila), Celebes (suleppe)		
311.222	With several resonator gourds	India (vina)		
312	Tube zithers The string bearer i	s a vaulted surface		
312.1	Whole-tube zithers The string	carrier is a complete tube		
312.11	Idiochord (true) tube zithers	Africa and Indonesia (gonra, togo, valiha)		
312.12	Heterochord (true) tube zithers			
312.121	Without extra resonator	S.E. Asia (alligator)		
312.122	With extra resonator An internot tied in the shape of a bowl	de length of bamboo is placed inside a palm leaf <i>Timor</i>		
312.2	Half-tube zithers The strings are	stretched along the convex surface of a gutter		
312.21	Idiochord half-tube zithers	Flores		
312.22	Heterochord half-tube zithers	E. Asia (k'in, koto)		
313	<b>Raft zithers</b> The string bearer is of a raft	composed of canes tied together in the manner		
313.1	Idiochord raft zithers	India, Upper Guinea, Central Congo		
313.2	Heterochord raft zithers	N. Nyasa region		
314	<b>Board zithers</b> The string bearer is such	s a board; the ground too is to be counted as		

314.1	<b>True board zithers</b> The plane of the strings is parallel with bearer	that of the string
314.11	Without resonator	Borneo
314.12	With resonator	
314.121	With resonator bowl The resonator is a fruit shell or simila artificially carved equivalent	r object, or an Nyasa region
314.122	With resonator box (box zither) The resonator is made from Zither, H	m slats Hackbrett, pianoforte
314.2	<b>Board zither variations</b> The plane of the strings is at right bearer	angles to the string
314.21	Ground zithers The ground is the string bearer; there is on	ly one string Malacca, Madagascar
314.22	Harp zithers A board serves as string bearer; there are seve notched bridge	ral strings and a Borneo
315	Trough zithers The strings are stretched across the mouth	of a trough <i>Tanganyika</i>
315.1	Without resonator	
315.2	With resonator The trough has a gourd or a similar object a	attached to it
316	Frame zithers The strings are stretched across an open fram	me
316.1	Without resonatorPerhaps amongs	t medieval psalteries
316.2	With resonatorW. Africa, amon	ngst the Kru (kani)
32	<b>Composite chordophones</b> A string bearer and a resonate united and cannot be separated without destroying the inst	0,
321	Lutes The plane of the strings runs parallel with the sound	l-table
321.1	Bow lutes [pluriarc] Each string has its own flexible carrier	
	Africa (d	akam, kalangu, wambi)
321.2	Yoke lutes or lyres The strings are attached to a yoke which plane as the sound-table and consists of two arms and a cro	
321.21	Bowl lyres A natural or carved-out bowl serves as the reson	ator
		Lyra, E. African lyre
321.22	Box lyres A built-up wooden box serves as the resonator	Cithara, crwth
321.3	Handle lutes The string bearer is a plain handle. Subsidiary Indian <i>prasarini vina</i> are disregarded, as are also lutes with s	

	over several necks, like the <i>harpolyre</i> , and those like the Lyre-guitars, in which the yoke is merely ornamental	ne		
321.31	Spike lutes The handle passes diametrically through the resonator			
321.311	<b>Spike bowl lutes</b> The resonator consists of a natural or carved-out bowl <i>Persia, India, Indones</i>	ia		
321.312	<b>Spike box lutes or spike guitars</b> The resonator is built up from wood Egypt (rebab)			
321.313	<b>Spike tube lutes</b> The handle passes diametrically through the walls of a tube <i>China, Indochina</i>			
321.32	Necked lutes The handle is attached to or carved from the resonator, like a necl	ζ		
321.321	Necked bowl lutes Mandoline, theorbo, balalaika	l		
321.322	<b>Necked box lutes or necked guitars</b> N.B. Lutes whose body is built up in the shape of a bowl are classified as bowl lutes <i>Violin, viol, guitar</i>			
322	<b>Harps</b> The plane of the strings lies at right angles to the sound-table; a line joining the lower ends of the strings would point towards the neck			
322.1	<b>Open harps</b> The harp has no pillar			
322.11	Arched harps The neck curves away from the resonator Burma and Africa			
322.12	Angular harps The neck makes a sharp angle with the resonator			
	Assyria, Ancient Egypt, Ancient Korec	ı		
322.2	Frame harps The harp has a pillar			
322.21	Without tuning actionAll medieval harps			
322.211	Diatonic frame harps			
322.212	Chromatic frame harps			
322.212.1	With the strings in one planeMost of the older chromatic harps			
322.212.2	With the strings in two planes crossing one another The Lyon chromatic harp			
322.22	With tuning action The strings can be shortened by mechanical action			
322.221	With manual action The tuning can be altered by hand-levers			
	Hook harp, dital harp, harpinella			
322.222	With pedal action The tuning can be altered by pedals			
323	Harp lutes The plane of the strings lies at right angles to the sound-table; a linejoining the lower ends of the strings would be perpendicular to the neck.Notched bridgeW. Africa (kasso, etc)	1		

Suffixes for use with any division of this class (chordophones):

-4 sounded by hammers or beaters
-5 sounded with the bare fingers
-6 sounded by plectrum
-7 sounded by bowing
-71 with a bow
-72 by a wheel
-73 by a ribbon [Band]
-8 with keyboard
-9 with mechanical drive

- **4 AEROPHONES** The air itself is the vibrator in the primary sense
- 41 Free aerophones The vibrating air is not confined by the instrument
- 411 Displacement free aerophones The air-stream meets a sharp edge,or a sharp edge is moved through the air. In either case, according to more recent views, a periodic displacement of air occurs to alternate flanks of the edge

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Whip, sword-blade
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Brit. Columbia

*Single-note motor horn* 

- 412 Interruptive free aerophones The air-stream is interrupted periodically
- **412.1 Idiophonic interruptive aerophones or reeds** The air-stream is directed against a lamella, setting it in periodic vibration to interrupt the stream intermittently. In this group also belong reeds with a 'cover', i.e. a tube in which the air vibrates only in a secondary sense, not producing the sound but simply adding roundness and timbre to the sound made by the reed's vibration; generally recognizable by the absence of fingerholes *Organ reed stops*
- 412.11Concussion reeds Two lamellae make a gap which closes periodically during<br/>their vibrationA split grass-blade
- 412.12 **Percussion reeds** A single lamella strikes against a frame
- 412.121 Individual percussion reeds
- **412.122 Sets of percussion reeds** The earlier reed stops of organs
- 412.13 Free reeds The lamella vibrates through a closely-fitting slot
- 412.131 (Individual) free reeds
- 412.132Sets of free reeds N.B. In instruments like the Chinese sheng the fingerholes do<br/>not serve to modify the pitch and are therefore not equivalent to the fingerholes<br/>of other pipesReed organ, mouthorgan, accordion

412.14	<b>Ribbon reeds</b> The air-stream is directed against the edge of a stretched band or ribbon. The acoustics of this process has not yet been studied		
	1 ,	Brit. Columbia	
412.2	Non-idiophonic interruptive instruments The interruptive agent is not a reed		
412.21	Rotating aerophones The interruptive agent rotates in i	ts own plane Sirens	
412.22	Whirling aerophones The interruptive agent turns on it	s axis	
	Bull-roarer, whirring	disc, ventilating fan	
413	<b>Plosive aerophones</b> The air is made to vibrate by a singl condensation shock	e density stimulus Pop guns	
42	<b>Wind instruments proper</b> The vibrating air is confined within the instrument itself		
421	Edge instruments or flutes A narrow stream of air is directed against an edge		
421.1	<b>Flutes without duct</b> The player himself creates a ribbon-shaped stream of air with his lips		
421.11	<b>End blown flutes</b> The player blows against the sharp rim at the upper open end of a tube		
421.111	(Single) end-blown flutes		
421.111.1	Open single end-blown flutes The lower end of the flute is open		
421.111.11	Without fingerholes	Bengal	
421.111.12	With fingerholes	Almost world-wide	
421.111.2	Stopped single end-blown flutes The lower end of the flute is closed		
421.111.21	Without fingerholes	The bore of a key	
421.111.22	With fingerholes	Especially New Guinea	
421.112	Sets of end-blown flutes or panpipes Several end-blown flutes of different pitch are combined to form a single instrument		
421.112	Open panpipes		
421.112.11	<b>Open</b> ( <b>raft</b> ) <b>panpipes</b> The pipes are tied together in the form of a board, or they are made by drilling tubes in a board <i>China</i>		
421.112.12	2.12 <b>Open bundle</b> ( <b>pan-</b> ) <b>pipes</b> The pipes are tied together in a round bundle		
	Solomon Is., New Britain, Ne	w Ireland, Admiralty Is.	
421.112.2	Stopped panpipes	Europe, S. America	
421.112.3	Mixed open and stopped panpipes	Solomon Is., S. America	

421.12	<b>Side-blown flutes</b> The player blows against the sharp rim of a hole in the side of the tube		
421.121	(Single) side-blown flutes		
421.121.1	Open side-blown flutes		
421.121.11	Without fingerholes	S.W. Timor	
421.121.12	With fingerholes	European flute	
421.121.2	<b>Partly-stopped side-blown flutes</b> The lower end of the pipe pierced by a small hole	the tube is a natural node of <i>N. W. Borneo</i>	
421.121.3	Stopped side-blown flutes		
421.121.31	Without fingerholes		
421.121.311	With fixed stopped lower end	Apparently non-existent	
421.121.312	With adjustable stopped lower end (piston flutes)	Malacca, New Guinea	
421.121.32	With fingerholes	E. Bengal, Malacca	
421.122	Sets of side-blown flutes		
421.122.1	Sets of open side-blown flutes	Chamber flute orum	
421.122.2	Sets of stopped side-blown flutes	N. W. Brazil (among the Siusi)	
421.13	Vessel flutes (without distinct beak)The body of the pipe is not tubular but Brazil (Karaja), Lower Congo (Bafiote)		
421.2	Flutes with duct or duct flutes A narrow duct directs the air- stream against the sharp edge of a lateral orifice		
421.21	<b>Flutes with external duct</b> The duct is outside the wall of the flute; this group includes flutes with the duct chamfered in the wall under a ring-like sleeve and other similar arrangements		
421.211	(Single) flutes with external duct		
421.211.1	Open flutes with external duct		
421.211.11	Without fingerholes	China, Borneo	
421.211.12	With fingerholes	Indonesia	
421.211.2	Partly-stopped flutes with external duct	Malacca	
421.211.3	Stopped flutes with external duct		
421.212	Sets of flutes with external duct	Tibet	
421.22	<b>Flutes with internal duct</b> The duct is inside the tube. This group includes flutes with the duct formed by an internal baffle (natural node, block of resin) and an exterior tied-on cover (cane, wood, hide)		

421.211	(Single) flutes with internal duct		
421.221.1	Open flutes with internal duct		
421.221.11	Without fingerholes	European signalling whistle	
421.221.11	With fingerholes	Recorder	
421.221.12	C C	India and Indonesia	
	Partly-stopped flute with internal duct	Inala ana Indonesia	
421.221.3	Stopped flutes with internal duct		
421.221.31	Without fingerholes		
421.221.311	With fixed stopped lower end	European signalling whistle	
421.221.312	With adjustable stopped lower end	Piston pipes [swannee whistle]	
421.221.4	Vessel flutes with duct		
421.221.41	Without fingerholesZoomorphic pottery whistles (Europe, Asia)		
421.221.42	With fingerholes	Ocarina	
421.222	Sets of flutes with internal duct		
421.222.1	Sets of open flutes with internal duct		
421.222.11	Without fingerholes	Open flue stops of the organ	
421.222.12	With fingerholes	Double flageolet	
421.222.2	Sets of partly-stopped flutes with internal duct	Rohrflöte stops of the organ	
421.222.3	Sets of stopped flutes with internal duct	Stopped flue stops of the organ	
422	<b>Reedpipes</b> The air-stream has, through means of two lamellae placed at the head of the instrument, intermittent access to the column of air which is to be made to vibrate		
422.1	<b>Oboes</b> The pipe has a [double] reed of concussion lamellae (usually a flattened stem)		
422.11	(Single) oboes		
422.111	With cylindrical bore		
422.111.1	Without fingerholes	Brit. Columbia	
411.111.2	With fingerholes	Aulos, crumhorn	
422.112	With conical bore	European oboe	
422.12	Sets of oboes		
422.121	With cylindrical bore	Double aulos	
422.122	With conical bore	India	

422.2	Clarinets The pipe has a [single] 'reed' consisting of a percussion lamella	
422.21	(Single) clarinets	
422.211	With cylindrical bore	
422.211.1	Without fingerholes	Brit. Columbia
422.211.2	With fingerholes	European clarinet
422.212	With conical bore	Saxophone
422.22	Sets of clarinets	Egypt (zummara)
422.3	<b>Reedpipes with free reeds</b> The reed vibrates through [at] a closely- fitted frame. There must be fingerholes, otherwise the instrument belongs to the free reeds 412.13 <i>S.E. Asia</i>	
422.31	Single pipes with free reed	
422.32	Double pipes with free reeds	
423	<b>Trumpets</b> The air-stream passes through the player's vibrating lips, so gaining intermittent access to the air column which is to be made to vibrate	
423.1	Natural trumpets Without extra devices to alter pitch	
423.11	Conches A conch shell serves as trumpet	
423.111	End-blown	
423.111.1	Without mouthpiece	India
423.111.2	With mouthpiece	Japan (rappakai)
423.112	Side-blown	Oceania
423.12	Tubular trumpets	
423.121	End-blown trumpets The mouth-hole faces the axis of the trumpet	
423.121.1	End-blown straight trumpets The tube is neither curved nor folded	
423.121.11	Without mouthpiece	Some alphorns
423.121.12	With mouthpiece	Almost world-wide
423.121.2	End-blown horns The tube is curved or folded	
423.121.21	Without mouthpiece	Asia
423.121.22	With mouthpiece	Lurs
423.122	Side-blown trumpets The embouchure is in the side of th	e tube
423.122.1	Side-blown straight trumpets	S. America
423.122.1	Side-blown horns	Africa

423.2	Chromatic trumpets With extra devices to modify the pitch		
423.21	Trumpets with fingerholes	Cornetti, key bugles	
423.22	<b>Slide trumpets</b> The tube can be lengthened by extending a telescopic section of the instrument <i>European trombone</i>		
423.23	<b>Trumpets with valves</b> The tube is lengthened or shortened by connecting or disconnecting auxiliary lengths of tube <i>Europe</i>		
423.231	Valve bugles The tube is conical throughout		
423.232	Valve horns The tube is predominantly conical		
423.233	Valve trumpets The tube is predominantly cylindri	cal	

Suffixes for use with any division of this class (aerophones):

-6 with air reservoir

- -61 with rigid air reservoir
- -62 with flexible air reservoir
- -7 with fingerhole stopping
- -71 with keys

-72 with Bandmechanik [presumably a perforated roll or ribbon]

- -8 with keyboard
- -9 with mechanical drive

#### Appendix IV - Bibliography of the original Instruments and Ensembles sample

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